

**General Electric Company Global
Patent Operation**

187 Danbury Road

Suite 204

Wilton, CT 06897

**RECEIVED
GENERAL FAX CENTER****JUL 17 2006****Fax**

To: <u>USPTO</u>	From: <u>Kristina Pelly</u>
Fax: <u>571273-8300</u>	Pages: <u>33</u>
Phone:	Date: <u>July 17, 2006</u>
Re: <u>IDS</u>	CC:
<input type="checkbox"/> Urgent <input checked="" type="checkbox"/> For Review <input type="checkbox"/> Please Comment <input type="checkbox"/> Please Reply <input type="checkbox"/> Please Recycle	

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Franco Sarri
Title: Multistage Centrifugal Compressor
Serial No.: 10/595731
Art Unit:
Filing Date: 8 MAY 2006
Examiner:
Date: 17 JULY 2006
Docket No.: 154550

RECEIVED
CENTRAL FAX CENTER
JUL 17 2006

INFORMATION DISCLOSURE STATEMENT

Mail Stop Patent Application
Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

Sir:

The following are submitted in the above application in compliance with 37 CFR 1.97 and 37 CFR 1.98.

- ☒ 1. A list of documents on Form PTO-1449 or Substitute together with copies of each identified document (other than U.S. patents and U.S. patent application publications unless required by the Office) and a translation thereof or a concise explanation of each non-English language document or a Search Report or communication from a non-US patent office or an International Search Report from an International Searching Authority for a patent application filed via the Patent Cooperation Treaty or document(s) cited in the application or the priority application.

This paper is submitted in accordance with:

- ☒ 2. 37 CFR 1.97(b): [within three months of national, non-CPA filing, prior to first Office Action, on the merits, or prior to first office action after filing an RCE]

- ☐ 3. 37 CFR 1.97(c): [before Final Office Action, Allowance, or other action closing prosecution, whichever is earlier]; and

☐ a. The required Certification made in item 5 below; or

☐ b. The \$180.00 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 6 below.

CERTIFICATE OF FACSIMILE

I hereby certify that this correspondence is being sent via facsimile to (571) 273-8300 located at the US Patent and Trademark Office, Office of Initial Patent Examining, Alexandria, VA 23312-1450, on the date indicated below.

By

(Name)

Date:

July 17, 2006

For use by GE and GE Preferred Providers

© 1998-2005, 2006 General Electric Company Effective 1 January 2006

☐ 4. 37 CFR 1.97(d): [on or before issue fee payment]; and

a) The required Certification is stated in item 5 below; and

b) The \$180.00 fee specified in 37 C.F.R. 1.17(p) for submission of this Information Disclosure Statement is authorized in item 6 below.

☐ 5. Certification

☐ a. Each item of information contained in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign patent application not more than three months prior to the filing of this Statement; or

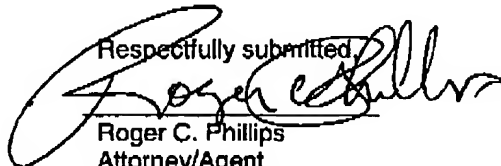
☐ b. No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign patent application and, to the knowledge of the person signing this document after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing date of this Statement.

☒ 6. Payment of all applicable fees:

Please charge all applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. 090470.

This document is submitted in duplicate.

Respectfully submitted,


Roger C. Phillips
Attorney/Agent
Reg. No. 37,418

Address: GE Global Patent Operation
Suite 204
187 Danbury Road
Wilton, CT 06897-4122

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Franco Sarri
Title: Multistage Centrifugal Compressor
Serial No.: 10/595731
Art Unit:
Filing Date: 8 MAY 2006
Examiner:
Date: 17 JULY 2006
Docket No.: 154550

INFORMATION DISCLOSURE STATEMENT

Mail Stop Patent Application
Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

Sir:

The following are submitted in the above application in compliance with 37 CFR 1.97 and 37 CFR 1.98.

- ☒ 1. A list of documents on Form PTO-1449 or Substitute together with copies of each identified document (other than U.S. patents and U.S. patent application publications unless required by the Office) and a translation thereof or a concise explanation of each non-English language document or a Search Report or communication from a non-US patent office or an International Search Report from an International Searching Authority for a patent application filed via the Patent Cooperation Treaty or document(s) cited in the application or the priority application.

This paper is submitted in accordance with:

- ☒ 2. 37 CFR 1.97(b): [within three months of national, non-CPA filing, prior to first Office Action, on the merits, or prior to first office action after filing an RCE]

- ☐ 3. 37 CFR 1.97(c): [before Final Office Action, Allowance, or other action closing prosecution, whichever is earlier]; and

- ☐ a. The required Certification made in item 5 below; or

- ☐ b. The \$180.00 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 6 below.

CERTIFICATE OF FACSIMILE

I hereby certify that this correspondence is being sent via facsimile to (571) 273-8300 located at the US Patent and Trademark Office, Office of Initial Patent Examining, Alexandria, VA 23312-1450, on the date indicated below.

By

(Name)

Date:

☐ 4. 37 CFR 1.97(d): [on or before issue fee payment]; and

a) The required Certification is stated in item 5 below; and

b) The \$180.00 fee specified in 37 C.F.R. 1.17(p) for submission of this Information Disclosure Statement is authorized in item 6 below.

☐ 5. Certification

☐ a. Each item of information contained in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign patent application not more than three months prior to the filing of this Statement; or

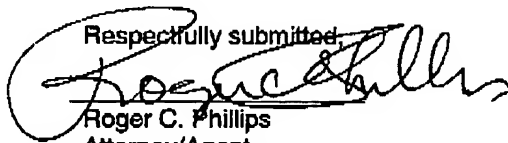
☐ b. No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign patent application and, to the knowledge of the person signing this document after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing date of this Statement.

☒ 6. Payment of all applicable fees:

Please charge all applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. 090470.

This document is submitted in duplicate.

Respectfully submitted,


Roger C. Phillips
Attorney/Agent
Reg. No. 37,418

Address: GE Global Patent Operation
Suite 204
187 Danbury Road
Wilton, CT 06897-4122

